



533 Rec'd PCT/PTO 03 OCT 2001

#5

Patent  
Docket No: 54575US007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kenichi Saitoh and Shinju Sugii

Group Art Unit: Unknown

Serial No.: 09/700,600

Filed: November 15, 2000

Examiner: Unknown


For: Reflective Film and Display Device

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

September 28, 2001

Date

  
Signature: Linda D. Schumacher

REQUEST FOR RECONSIDERATION OF HOLDING OF ABANDONMENT

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicant requests reconsideration of the holding of abandonment set forth in the notice mailed on September 19, 2001.

Submitted herewith is a copy of the response mailed on February 28, 2001, showing a Certificate of Mailing executed on February 28, 2001, and a copy of the post card identifying the papers filed and showing the U.S. Patent and Trademark Office receipt stamp dated March 5, 2001.

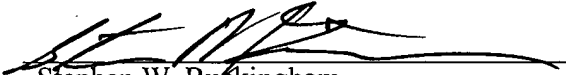
If any fee is required with this request, please charge the fee to Deposit Account No. 13-3723. Because no defect exists in the previous submission, it is respectfully asserted that no fee is owed.

Acknowledgment of the active status of this application is respectfully requested.

Respectfully submitted,

Registration Number 30,035	Telephone Number 651-733-3379
Date September 28, 2001	

By

  
Stephen W. Buckingham

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
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OFFICE OF INTELLECTUAL  
PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY

SEP 24 2001



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/700600	SAITOH	K
OFFICE OF INTELLECTUAL PROPERTY COUNSEL 3M INNOVATIVE PROPERTIES COMPANY PO BOX 33427 ST PAUL, MN 55133 3427		54575USA3A.007
		INTERNATIONAL APPLICATION NO.
		PCT/US99/10863
		I.A. FILING DATE
		PRIORITY DATE
		17 MAY 99
		18 MAY 98
		DATE MAILED: 19 SEP 2001

10/19/01  
SWB  
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### NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as

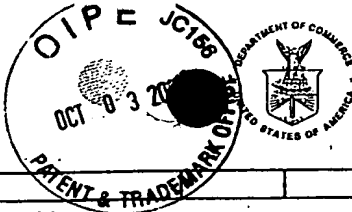
☐ a Designated Office (37 CFR 1.494), ☒ an Elected Office (37 CFR 1.495), has made the following determination:

- ☐ Applicant's letter of express abandonment received \_\_\_\_\_ is in compliance with CFR 1.138 and is hereby acknowledged.
- ☐ Applicant has failed to provide the full U.S. Basic National Fee by ☐ 20 months (37 CFR 1.494(b)(2)), ☐ 30 months (37 CFR 1.495(b)(2)).
- ☒ Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 25 Jan 2001 within the time period set therein.
- ☐ Applicant has failed to properly respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed \_\_\_\_\_ within the time period set therein. See the attached NOTIFICATION OF DEFECTIVE RESPONSE (Form PCT/DO/EO/916).
- ☐ Other.

Therefore, the above identified application failed to meet the requirements of 35 U.S.C 371 and 37 CFR ☐ 1.494, ☒ 1.495 and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

Shelby J. Vigil

Telephone: 703-305-3653



Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENT  
Box PCT  
Washington, D.C. 20220

OFFICE OF INTELLECTUAL  
PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY

FEB 1 2001

U.S. APPLICATION NO. 09/700600	FIRST NAMED APPLICANT SAITOH	ATTY. DOCKET NO. K
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OFFICE OF INTELLECTUAL PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY  
PO BOX 33427  
ST PAUL, MN 55133 3427

54575USA3A.0 07  
INTERNATIONAL APPLICATION NO.

PCT/US99/10863

I.A. FILING DATE

PRIORITY DATE

17 MAY 99

18 MAY 98

DATE MAILED:

25 JAN 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☒ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_.

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

SEEK BY VIGIL PARALEGAL

SVMO